

pan 07
CV

Express Mail No.: EM 061 021 830 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Spyridon Artavanis-Tsakonas

Application No.: 08/532,384

Group Art Unit: 1642

Filed: September 22, 1995

Examiner: Y. Eyler

For: THERAPEUTIC AND
DIAGNOSTIC METHODS AND
COMPOSITIONS BASED ON
NOTCH PROTEINS AND
NUCLEIC ACIDS

Attorney Docket No.: 7326-035

**THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT UNDER
37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Box AF
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby invite the Examiner's attention to references CZ-DA listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." A copy of Reference DA is submitted herewith. Reference CZ is attached as Exhibit A to the Amendment under 37 C.F.R. § 1.116 submitted concurrently herewith.


Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-
~~1449, since this form identifies all of the references cited therein as "Prior Art." As an~~
alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicant respectfully requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-captioned application.

Pursuant to 37 C.F.R. § 1.97(d), since this Third Supplemental Information Disclosure Statement is being submitted after the mailing date of a Final Office Action, (i) a certification as specified in 37 C.F.R. § 1.97(e)(2) regarding Reference DA, (ii) a petition requesting consideration of the Information Disclosure Statement, and (iii) the fee set forth in 37 C.F.R. § 1.17(i) are submitted concurrently herewith. Reference CZ is being made of record as requested by the Examiner at the personal Interview held on August 19, 1999 in connection with the above-identified application.

Respectfully submitted,

Date September 17, 1999


Adriane M. Antler 32.605
(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090